

COVID19 - Risk Register

COVID-19			Portfolio	Inherent	Residual	Controls and Actions		
Risk Ref	Risk Identified	Potential Consequence	Owner			Control or Action	Status	Review Date
COVID0093	Recovery - Precarious public service finances, with significant short and medium-term pressures faced particularly by local authorities along with future uncertainty around the UK Government's budgetary response to the economic fallout of COVID 19 and the impact of Brexit.	If the Council is unable to balance its 2020-21 budget due to loss of income, increased demand for services and associated costs and a delay in delivery of planned budget reductions, this will result in the council having to reduce services and staffing numbers to bring expenditure in line with income.	Cllr Aled Davies Jane Thomas	25	20	<ul style="list-style-type: none"> Revise the Medium Term Financial Strategy Reassessment of the activities of the Council through the Recovery Coordination Group <p>04/08/2020 The work is being progressed in different ways across Directorates and this week the IBP process has been strengthened to accommodate and capture the outcomes required for both recovery of the budget position in 20/21 but also for developing the budget for future years. Key milestones have been included to progress this work through August, September and beyond.</p> <ul style="list-style-type: none"> Ongoing discussion with WG and WLGA through Society of Welsh Treasurers for Future Funding of Local Government <p>22/07/2020 Discussions continue with confirmation of some initial support for quarter 1 income loss.</p> <ul style="list-style-type: none"> Review budget position at end of first quarter and consider changes to the 2020/21 budget 	Action In Progress Action In Progress Control In Place	22/09/2020 04/09/2020 31/08/2020
COVID0029	Response Revenue Budget Risk	Unable to deliver a balanced budget for 2020/21 Undelivery of Cost Reductions Increased costs across the organisation Impact on the Councils Reserves Impact on Medium Term Financial Strategy	Cllr Aled Davies Jane Thomas	25	16	<ul style="list-style-type: none"> Ongoing discussion with WG <p>17/08/2020 Further funding of £260m announced on the 17th August to assist Local Authorities with pressures and loss of income for the remainder of the financial year, support will continue to be accessed via monthly claims.</p> <ul style="list-style-type: none"> better understanding of all cost pressures, income losses, savings risks and cost savings <p>07/07/2020 the quarter 1 position is being finalised and will be reported to Cabinet at the end of July. The report will assess the updated position and propose the actions now required to address the budget deficit.</p> <ul style="list-style-type: none"> Reassess Budget Position monthly, Report and review mitigating action <p>04/08/2020 Quarter 1 report considered by Cabinet on the 28th July. Actions approved to identify proposals to limit the deficit projected. Work commissioned for completion by Heads of Service by the 4th September.</p> <ul style="list-style-type: none"> Ensure appropriate recording of additional costs to enable claim through WG COVID hardship fund 	Action In Progress Action In Progress Action In Progress	17/10/2020 07/10/2020 04/09/2020 31/08/2020

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						<ul style="list-style-type: none"> Budget Management and virement reg's reinforced, decisions at service level that exceed service budgets must be escalated to EMT 	Control In Place	
						04/08/2020 No change		
COVID0044	<p>Response</p> <p>Impact of COVID-19, including: Service User Illness and death; Unpaid Carer Illness; Staff Resource (further and unmanageable reduction through illness and self-isolation); Service provision volatility;</p>	<p>Unable to fulfil statutory obligations; Inability to provide care to service users; Service users are unsafe; Unpaid carers are unsafe; Staff are unsafe; Provider failure risk (residential, supported living, domiciliary care); Children's providers' failure – leading to vulnerable children at risk.</p>	Cllr Myfanwy Alexander Dylan Owen	25	15	<ul style="list-style-type: none"> Ongoing staff redeployment (from within Adult Social Care and other Council service areas) 	Action In Progress	29/10/2020
						29/07/2020 This continues during the period of business continuity		
						<ul style="list-style-type: none"> Provision of appropriate Personal Protective Equipment 	Action In Progress	29/10/2020
						29/07/2020 PPE availability is now sufficient and is ongoing.		
						<ul style="list-style-type: none"> Testing for front-line staff 	Action In Progress	13/10/2020
						13/07/2020 Mass testing now available for front-line staff. Move from local approach to requesting tests through NHS.		
						<ul style="list-style-type: none"> Rapid recruitment for care staff 	Action In Progress	
						29/07/2020 Recruitment was undertaken and staff are now in place. Recruitment continues.		
						<ul style="list-style-type: none"> Block booked residential care beds to lock-in step down capacity 	Action Completed	
						<ul style="list-style-type: none"> Work with providers to ensure flexibility and sustainability (pay on planned care) 	Control In Place	
						<ul style="list-style-type: none"> Prioritise care calls – priority 1 	Control In Place	
						<ul style="list-style-type: none"> Work with C-SERT to support priority 2 calls 	Control In Place	
						<ul style="list-style-type: none"> Adult services daily sitreps 	Control In Place	
COVID0065	<p>Response</p> <p>If there is a lack of appropriate equipment to mitigate the impact of COVID-19</p>	<p>Staff and carers left without protection Risk of further infection and spread - leading to possible illness and death Reduced faith in the Council - reputational damage</p>	Cllr Myfanwy Alexander Dylan Owen	25	10	<ul style="list-style-type: none"> Expecting receipt of some PPE Control/action 	Action In Progress	29/09/2020
						29/06/2020 There is now sufficient supply of PPE.		
						<ul style="list-style-type: none"> Attempts at procuring own supplies 	Control In Place	
						<ul style="list-style-type: none"> Escalation to Welsh Government 	Control In Place	
						<ul style="list-style-type: none"> Creation of systems to ensure prioritisation and provision on demand 	Control In Place	

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COVID0041	Response People Handling Training Provision	There is a risk associated with stopping face to face training events as well as appointing people without prior knowledge/Training specifically in "People Handling"	Cllr Graham Breeze Paul Bradshaw	25	6	<ul style="list-style-type: none"> Current trainer capacity identified and increased capacity through upskilling or revalidating other trainers Further Adjustments to Safeguard the training provision in line with HSE Guidance 	Control In Place Control In Place	
COVID0094	Recovery The effects of COVID19 reduce the Council's ability to deliver the commitments contained in Vision 2025, Towards 2040, the Health and Care Strategy.	The financial impact on the council and the economic and social impact of COVID19 on the county results in the council being unable to achieve its planned outcomes, within a reduced financial envelope. The commitments in our plans therefore need to be reviewed and may need to be reduced.	Cllr Graham Breeze Emma Palmer	20	16	<ul style="list-style-type: none"> Complete sprint two - planning post-pandemic and analysis of current council activities <i>06/07/2020 On track</i> Complete sprint one -well-being assessment of COVID-19 Complete Sprint Three: Adjust 2020-21 content of Integrated Business Plans to include short term recovery action Complete Sprint Four - Produce 2021-24 Integrated Business Plans to include medium term recovery action Support PSB to review step delivery plans 	Action In Progress Action Completed Control In Place Control In Place Control In Place	06/10/2020

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COVID0068	Response Children's Service Placement Availability	<p>Unable to support placements and children and young people are required to move</p> <p>Foster carers have no capacity to accept new placements.</p> <p>Children remaining in unsuitable accommodation.</p> <p>Disruption to children and negative impact on their emotional wellbeing and mental health.</p> <p>Unable to place Powys CLA in regulated placements in or out of county</p> <p>Increase in number of children in unregulated arrangements</p> <p>Risk of harm to children</p> <p>Unable to comply with regulations</p> <p>Financial risk to the local authority as demand for placements increases</p> <p>Reputational risk – criticism from regulators.</p> <p>Litigation risk – non-compliance with court orders and statutory expectations leading to harm.</p>	<p>Owner</p> <p><i>Cllr Rachel Powell</i> Jan Coles</p>	20	12	<ul style="list-style-type: none"> Prioritisation of work. Redeployment of workforce. Maximisation of available resources. Widen pool of placements. 	Action In Progress	07/09/2020
						<p>09/07/2020 Continue to prioritise assessments for foster carers and supported lodgings providers. 17 foster care assessments underway and 10 SL host assessments. Team for the new children's home recruited to. Placements for children with complex needs continue to be a challenge to find.</p>		

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COVID0049	Response HOUSING - Increase in homelessness - Additional duties to accommodate extra groups and enable self isolation in accordance with COVID19.	People coming to Powys from urban areas for sanctuary; relationship disruption caused by self-isolation (increased domestic violence); street homeless requesting safe accommodation. (Longer term – loss of home due to inability to make mortgage or rent payments following loss of employment income) Additional demand for temporary accommodation (TA) – primarily from homelessness households and social care clients - may reduce ability of the Council to provide general needs accommodation to other client groups, increasing risks of overcrowding, people living in unsuitable accommodation and additional void losses to the HRA. Welsh Government issued guidance to develop Homeless Co-ordination Cell to house all rough sleepers and provide suitable temporary accommodation that allows for effective self isolation.	Cllr James Evans Dafydd Evans	20	12	<ul style="list-style-type: none"> Move on from temporary accommodation 	Action In Progress	17/09/2020
						<p>17/08/2020 Additional support and additional accommodation progressing enabling move on from temporary accommodation. Community Connector Service agreed to provide support co-ordination additional support to be provided by existing providers in Powys, agreed in principle. Additional properties being acquired, three properties currently progressing.</p>		
						<ul style="list-style-type: none"> Additional support and accommodation to be made available 	Action In Progress	17/09/2020
						<p>17/08/2020 Support provisions in development in accordance with phase 2 revenue funding and acquisitions progressing in accordance with capital funding secured. Presentations remain high and higher than last year. 3 Acquisitions of additional accommodation in process. in accordance with phase 2 capital funding secured. Agreement in principle with community connector service to act as support co-coordinators for persons in temporary accommodation, other support agencies, Mind, Cab, Ponthafren etc agreed in principle to provide additional support in accordance with revenue funding secured.</p>		
						<ul style="list-style-type: none"> Homeless Co-ordination Cell 	Action In Progress	31/08/2020
						<p>24/08/2020 Large number of households in temporary accommodation requiring support and lack of move on options. Homeless Cell update 19th August: • Number of live homeless cases now at 320 • Number of homeless households in temporary accommodation excluding B&B's 112 • Number of households now in B&B 18 • Number of new properties identified to boost temporary accommodation 70 • Number of known rough sleepers 5 • Number of homeless presentations during the last two weeks 39 (compared to 35 for same period last year) • Number of homeless presentations since 1st March 362 (compared to 265 for same period last year) Top reasons: - 1. Non-violent breakdown of relationships 2. Parent/family no longer willing to accommodate 3. 25 – 35 and 36 – 59 highest presenting age ranges 4. 16-24 now higher than this time last year Number of presentations still high but comparable to last year. Last years presentations were a significant increase on the previous year. Large number of households in temporary accommodation requiring additional support and lack of suitable move on accommodation.</p>		

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COVID0067	Response Health and wellbeing of children and young people	Children may be at increased risk if they are not accessing universal and community services which form part of safety and support plans for children. Families refusing access to children subject to CP plans Some children may become unwell and require hospitalisation. Children not able to see their family members Negative impact on emotional wellbeing and mental health Increase in children meeting the eligibility criteria for statutory assessment or threshold for child protection investigation. Use of PPE to offer care causes distress and fear for the child. Limits to intervention/assessment leaves children at risk of significant harm/abuse/neglect. Increase in poor family functioning, domestic abuse, domestic violence. Negative impact on emotional wellbeing and mental health of individuals Children may not have regular meals and could have their health and wellbeing affected. Isolation of care leavers impacting on their emotional wellbeing and mental health at a time when access to support is limited Increase in incidents of self-harm and suicide.	<i>Cllr Rachel Powell</i> Jan Coles	16	16	<ul style="list-style-type: none"> Stock Monitoring - Additional temporary accommodation from social housing stock and Homeless Coordination Cell established 	Control In Place	
						<ul style="list-style-type: none"> Increase support for children who are/ may be at risk of harm in the light of continued schol closures 	Action In Progress	09/10/2020
						<p>09/07/2020 <i>Business critical work has been extended as the workforce has remained very resilient and the planned for absence levels did not emerge in CS. Service has adapted to the new circumstances in which we need to work with procedures and protocols, and training in place. Some redeployed staff returning to their teams Thresholds have been maintained All statutory duties being delivered Review will be needed when plans for school return are clearer</i></p>		
						<ul style="list-style-type: none"> Risk assessment and prioritisation of all cases. Redeployment of staff. Maximise use of available resources. 	Action In Progress	07/09/2020
						<p>09/07/2020 <i>Business critical work has been extended as the workforce has remained very resilient and the planned for absence levels did not emerge in CS. Service has adapted to the new circumstances in which we need to work with procedures and protocols, and training in place. Some redeployed staff returning to their teams</i></p>		
						<ul style="list-style-type: none"> Childcare Hubs are available for vulnerable children to attend Available services have been reviewed and the Early Help service has been reinstated 	Control In Place	

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COVID0069	Response Increased demand on Children's Services	<p>Delay in response leaving children and adults at risk of significant harm/ abuse/ neglect. Unable to perform statutory functions.</p> <p>Unable to meet statutory requirements including qualifications of staff and timescales.</p> <p>Insufficient staff with suitable qualifications experience. Unable to respond within statutory timescales.</p> <p>Unable to undertake Section 47s Assessments.</p> <p>Increase in CLA population</p> <p>Financial implications for PCC having to intervene later with higher level of intervention.</p> <p>Reputational risk - criticism from regulators.</p> <p>Litigation risk – non-compliance with statutory expectations leading to harm.</p> <p>Unable to keep children safe.</p> <p>Shortened assessment for individuals (including staff members) to be approved as foster carers in the case of emergency, now approved and assessments are underway.</p> <p>3 QSW who have previously worked for the authority are completing application forms as sessional workers to enable them to undertake face to face visits and work on a sessional basis if they are required.</p> <p>Procedures developed and shared with regional colleagues.</p> <p>Regional consensus being achieved.</p>	<p>Owner</p> <p><i>Cllr Rachel Powell</i> Jan Coles</p>	16	12	<p>• Redeploy staff, training, triage referrals and assessments, recruitment, temporary reg with SCW.</p> <p>26/08/2020 <i>Since the last review on 7th July, Children's Services have seen another increase in demand for services, thereby escalating this risk further. Contacts to the service front door in July (at 618) were the largest number in 12 months and a 20% increase on the previous year's figures. Data from previous years tells us that August is usually a quieter month for the service, primarily due to school holidays, with an expected increase in September and October. However, figures currently available for August (as at 24/8) are at 453, indicating another circa 20% increase when compared to 387 for the full month of August 2019. Assessment figures recorded to date for August 2020 also indicate 145 children currently undergoing an Assessment (an increase from 118 in July). All service areas continue to function with all activity taking place to a greater or lesser degree in line with government guidance. Considerable changes, considerations and expectations of the workforce are in place to ensure that we continue to meet our statutory duties.</i></p>	Action In Progress	09/09/2020
COVID0018	Response Additional costs arising from Contract obligations	<p>Increased pressure on budgets, Capital costs could also increase.</p>	<p><i>Cllr Aled Davies</i> Vincent Hanly</p>	16	12	<p>• Identify potential issues</p>	Action In Progress	30/09/2020

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						<p>17/08/2020 Legal view have been obtained for all contracts and for construction contracts new clauses drafted for any new contracts. For existing running contracts these have been dealt with via the Governments guidance which expires end of September</p> <ul style="list-style-type: none"> Review of all contracts to assess impact 	Action In Progress	04/09/2020
						<p>04/08/2020 No change</p> <ul style="list-style-type: none"> Discussions with WG with regard to impact on Grant Funded Projects - delayed spend, unable to draw down of grant in time 	Action In Progress	04/09/2020
						<p>04/08/2020 No change</p>		
COVID0092	<p>Recovery</p> <p>Socio-economic challenges given the immediate economic impact and likely recession, including increased unemployment and take-up of universal credit and other benefits</p>	<p>The economic and social wellbeing of the population is expected to be negatively impacted by the COVID19 pandemic effects, increasing demand on council services</p>	<p>Cllr James Evans Nigel Brinn</p>	16	9	<ul style="list-style-type: none"> Ongoing impact assessments <p>28/08/2020 Impact assessments to be reviewed alongside business survey.</p> <ul style="list-style-type: none"> Vibrant and Resourceful Communities Recovery Group <p>28/08/2020 Group continues to meet weekly.</p> <ul style="list-style-type: none"> Thriving and Sustainable Economy Recovery Group <p>28/08/2020 Group continues to meet weekly.</p> <ul style="list-style-type: none"> Powys County Council Business Survey <p>27/07/2020 Business survey has closed. Data to be analysed alongside impact assessments.</p> <ul style="list-style-type: none"> Business and Economic Recovery Cell established as part of response Welsh Government Business Support Grants 	Action In Progress	28/09/2020

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COVID0073	Response If pupils do not or are not able to engage effectively in the Continuity of learning plan, there is a risk that due to COVID-19 there could be an effect on Wellbeing and self-confidence of pupils. There is a risk of inconsistency in the quality of distance learning.	There may be an increase of pupils needing additional support and a continued increase in referrals to counselling services. There will need to be a significant focus on re-integration of all pupils into school, however, there may be variation in the engagement and progress of pupils upon their return.	Cllr Phyl Davies Lynette Lovell	16	4	<ul style="list-style-type: none"> Continuity of Learning Plan 	Action In Progress	05/11/2020
						<p>05/08/2020 A full review of the controls and actions was agreed by SSMT 05.09.20</p> <ul style="list-style-type: none"> Blended Learning Effective systems to support vulnerable learners and their families 	Control In Place	
COVID0028	Response Insurance Risk – increase in claims and more undefendable at court, higher cost	Services unable to maintain provision, increase in claims Temporary staff & Volunteers inadequately trained to fulfil roles Greater risks taken and inadequate records maintained	Cllr Aled Davies Anne Phillips	15	9	<ul style="list-style-type: none"> Communications, Training, risk appetite considered. 	Action In Progress	31/08/2020
						<p>04/08/2020 No change</p> <ul style="list-style-type: none"> Provide DBS and necessary training before staff volunteers commence 	Control In Place	
COVID0023	Response Companies liquidating	Companies unable to sustain loss of business or reduced working/clients etc	Cllr Aled Davies Vincent Hanly	15	9	<ul style="list-style-type: none"> Business relief approach clarified so some provision will be given to support our main contractors and try to remain viable 	Action In Progress	22/09/2020
						<p>22/06/2020 Continuing to work with at risk suppliers</p> <ul style="list-style-type: none"> Government Support for Business clarified through grants / NNDR etc 	Action In Progress	04/09/2020
						<p>04/08/2020 More business now starting to operate again and some still drawing on support from the Job Retention Scheme and other support mechanisms.</p> <ul style="list-style-type: none"> Residual risk being assessed 	Action In Progress	31/08/2020
						<p>04/08/2020 no change continual review</p>		
COVID0096	Response Effective communications and messaging, particularly managing any differences and divergence of approach between England and Wales.	Lack of clear communications or failure to reach the population with messages will lead to confusion about the different rules in place in England and Wales, especially on the borders.	Cllr Graham Breeze Emma Palmer	15	6	<ul style="list-style-type: none"> Share WG social media content 	Action In Progress	25/09/2020
						<p>25/08/2020 Ongoing action</p> <ul style="list-style-type: none"> New releases references WG regulations. 	Action In Progress	25/09/2020
						<p>25/08/2020 Ongoing regular releases issued</p> <ul style="list-style-type: none"> Regular Cabient vlogs that references WG guidance 	Action In Progress	25/09/2020

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						<p>25/08/2020 Continuing but frequency reducing in response to restriction changes</p> <ul style="list-style-type: none"> Staff daily bulletins which shares up to date WG guidance Dedicated Covid-19 Staff Intranet Page Dedicated Covid-19 Public webpage on Council website 	Control In Place	
COVID0025	<p>Response</p> <p>Treasury Management -Maintaining the Authorities Cash flow to meet liabilities</p> <p>Reduced Levels of income coming into the Council</p>	<p>Treasury Management Function Staff reductions</p> <p>Potential loss of Grant funding</p> <p>Fee earning services disrupted or ceased</p> <p>Services not raising raising Debtor invoices or invoices not sent out (post issues)</p> <p>Reduction in Collection Rate for Council Tax</p> <p>Increase in Bad debt levels or bad debt enforcement</p>	<p>Cllr Aled Davies</p> <p>Anne Phillips</p>	15	6	<ul style="list-style-type: none"> Additional Borrowing <p>17/08/2020 No change</p> <ul style="list-style-type: none"> reduced outgoings will mitigate reduced income <p>22/06/2020 no change</p> <ul style="list-style-type: none"> WG identified grant to fund C19 additional costs, and may fund other pressures & reductions <p>22/06/2020 ongoing discussions with WG continue support</p> <ul style="list-style-type: none"> Discussion with other funding bodies, Internal comms Welsh Government open to discussions with individual authorities if problems arise Identify service income at risk and model impact of reductions Business Continuity Plan in place to maintain Treasury Management Function <p>22/06/2020 no change</p>	Action In Progress	17/10/2020
COVID0006	<p>Response</p> <p>Delay in the delivery of Vision 2025 Transformation programme due to COVID-19</p>	Impact on all Stakeholders	<p>Cllr Graham Breeze</p> <p>Emma Palmer</p>	15	4	<ul style="list-style-type: none"> The Transformation Programme with the exception of Schools Transformation and the Growth Deal is temporarily suspended 	Action In Progress	02/09/2020

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						26/08/2020 A meeting of the TDB took place on the 7th July and some actions were agreed following updates on the Projects from SRO's. Although the Programme is still officially on hold with the exception of the Growth Deal and Transforming Education.		
COVID0045	Response Impact of Covid-19 restrictions and redeployment on the capacity of the Planning & Public Protection Service.	-Failure to deliver statutory services. -Income loss. -Failure to achieve savings targets. -Impact on the economy. -Health and safety risks.	Cllr James Evans Gwilym Davies	12	12	<ul style="list-style-type: none"> Keep up-to-date with Welsh Government & Regulator advice and legislation. 	Action In Progress	09/10/2020
						09/07/2020 No change proposed,		
						<ul style="list-style-type: none"> Recruitment to vacant Planning posts to recommence. Monitor Environmental Health staff resource requirements. Secure additional staff capacity where appropriate. Keep service customers up-to-date on service delivery. Implement alternative service delivery methods, where appropriate. Secure the return of staff from redeployment where appropriate. 	Action In Progress	01/09/2020
COVID0022	Response Contract Award/ Development Delays in procurement process	Companies unable to price/ or unable to provide documentation internally to tender etc Delays in delivery of funded projects eg 21st Century Schools Housing	Cllr Aled Davies Vincent Hanly	12	12	<ul style="list-style-type: none"> Residual risk being explored. Consider future of capital and planned services contracts and assess against type of contract 	Action In Progress	30/09/2020
						17/08/2020 Planned contract register has been drafted and is with Head of Finance for approval so it can be published. Legal clauses drafted for any construction contracts. Similar clauses to be drafted for any other service contracts.		
COVID0079	Response National data modelling and reporting does not reflect what is happening on the ground	Media reporting uses national data giving residents an incomplete picture of what is happening in Powys. If data modelling on the trajectory of the pandemic is not consistent with actual figures and it is used it could lead to poor decision making about service provision. Residents behaviour is influenced by national data reporting which could lead to an increase in spread of the virus if actual figures of cases and deaths is higher than nationally reported figures.	Cllr Graham Breeze Ness Young	12	9	<ul style="list-style-type: none"> Weekly BI report to GOLD comparing national modelling with actual data from various sources. PCC Communications focus on local data to set national data in context. GOLD and SILVER use BI report and local intelligence to determine service provision required in response to the pandemic 	Control In Place	

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COVID0083	Recovery Possible second surge of COVID19 cases and deaths.	If there is a second surge, the council will need to pause any recovery work and resume focus on Business Critical Areas only.	Cllr Rosemaire Harris Ness Young	12	9	<ul style="list-style-type: none"> GOLD is monitoring COVID19 case/death data closely Service areas Business Continuity Plans Redeployment pool arrangements 	Control In Place Control In Place Control In Place	
COVID0086	Recovery The supply of PPE for public services, particularly as public consumption of PPE is likely to increase as lock-down eases and in line with Government guidance.	A shortage of PPE for public services would put staff and the population at risk from coming into contact with the virus.	Cllr Graham Breeze Clive Pinney	12	9	<ul style="list-style-type: none"> Monitor ability to procure PPE 	Control In Place	
COVID0007	Response LEISURE/SPORT Leisure Contractor significantly affected by COVID-19 situation	Freedom Leisure - (i) Significant loss of income, (ii) inability to meet contract requirements, (iii) potential redundancies though lack of funds, (v) depleted / reduced reserves, inability to pay costs, staff or suppliers, (vi) reduced ability to ensure statutory compliance, (vii) inability to complete repairs, maintenance or capital programmes, projects or works which may result in longer term loss / reduction of facilities when normal business resumes, (viii) inability to recoup sufficient funds (i.e. management fees, external funding, staffing costs) either from clients through contracts, or through Central Government support Powys CC - (i) ensure advance payments do not conflict with Government support to Freedom (ii) the leisure centres could transfer back into the Council if Freedom Leisure become insolvent (iv) the Council do not have the resources to support leisure services transferring back to an in-house model	Cllr Rachel Powell Jenny Ashton	12	9	<ul style="list-style-type: none"> Ongoing discussions between Powys CC & Freedom Leisure in order to address this risk <p>21/08/2020 Outdoor Sports facilities, pitches and rinks (bowling) were allowed to open from 20th July, however there was further clarification required from regulations and guidance. All sports are required to obtain guidance from their NGB's. All outdoor sport groups need an 'organiser' who will be responsible for the risk assessment and track and trace documents and processes. An announcement was then made by Welsh Government on 31st July to enable indoor sports facilities to reopen from 10th August 2020. Further guidance and clarification is required from Welsh Govt. Freedom Leisure reopened five leisure centres (Welshpool, Newtown, Rhayader, Brecon & Ystradgynlais) 'dry side' only i.e. sports halls, fitness suites and studios. Capacity is reduced, cleaning has been increased and all sessions are by prior booking only. The regulations allow up to 30 people in each area of the centre or as much as the space allows with social distancing measures in place. No contact sports are allowed at this time. All sports (groups) that wish to return must have additional guidance provided by their NGB's and must take responsibility for Risk Assessments and Track & Trace. Three swimming pools (Welshpool, Newtown & Ystradgynlais) opened on 17th August with Rhayader pool slightly later due to necessary works being completed first. It was thought that Brecon pool would reopen but may not for a number of weeks due to necessary survey work as part of the wider building project being undertaken. These surveys have only been completed recently due to COVID shut-down, contractors being unavailable (during shut-down) and Freedom Leisure staff being on furlough.</p>	Action In Progress	21/09/2020

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COVID0051	Response HOUSING - Failure to adhere to repair and maintenance responsibilities to municipal homes, which could include statutory testing and structural maintenance, due to difficulties in arranging for technicians to attend properties and/or access to materials.	Increased repair costs in the future. Dissatisfaction from tenants. Rise in disrepair claims. Damage to fabric of properties.	Cllr James Evans Andy Thompson	12	9	• Work focus on repairs & maintenance, compliance, voids and major works	Control In Place	
COVID0053	Recovery HOUSING Loss of, or disruption to, income of tenants may reduce income to the HRA.	A drop in income could impact on HRA work programmes	Cllr James Evans Andy Thompson	12	9	• Income Recovery Activity	Control In Place	
COVID0089	Recovery Organisational capacity to manage responses to easing of lockdown, notably enforcement.	Insufficient enforcement staff resources to manage easing of lockdown rules will make enforcement more difficult and could lead to an increase in infection spread	Cllr James Evans Gwilym Davies	12	9	• Monitor Public Protection staff resource. Secure additional staff capacity where appropriate. • Implement alternative service delivery methods, where appropriate.	Action In Progress Action In Progress	19/09/2020 19/09/2020
COVID0058	Response Post Inspection Action Plan (PIAP) for Estyn - Coronavirus may impact on the ability of the service to maintain the level of progress against the PIAP. In particular Recommendation 1 of the PIAP - 'Improve standards in secondary schools and more able learners' as schools are currently closed. Also, Recommendation 4 (the School Transformation/Reorganisation programme) could be affected by the Council's ability to conduct strategy consultations relating to Schools Organisation.	Progress against the PIAP may be affected due to the Covid-19 pandemic. Due to distance learning, secondary schools will not be able to utilise the full range of teaching experiences and assessment strategies. Consultations for Recommendation 4 may not be able to be conducted during the lockdown.	Cllr Phyl Davies Lynette Lovell	12	9	• Control • Ongoing progress / service improvement as specified in the PIAP <i>18/08/2020 The review date has been extended to 5/9/2020</i>	Action In Progress Action In Progress	22/10/2020 18/09/2020
COVID0099	Increased visits to hospital sites and homes in the community by social workers. The plan is to increase the presence of social workers on hospital sites and where appropriate support hospital discharges, attend MDTs and increase visits to those with sensory loss and business critical needs in the community.	Increased risk of exposure to COVID -19	Cllr Myfanwy Alexander Adam Greenow	12	8			

COVID-19			Portfolio	Inherent	Residual	Controls and Actions		
Risk Ref	Risk Identified	Potential Consequence	Owner			Control or Action	Status	Review Date
COVID0021	Response Contract Cessation/ Suspension	Certain contracts will not be delivered in accordance with planned requirements Impact on Service provision due to project delays e.g. Schools	Cllr Aled Davies Vincent Hanly	12	8	<ul style="list-style-type: none"> Review contracts - not award new 	Action In Progress	31/08/2020
						04/08/2020	no change	
COVID0082	Recovery As all school buildings have now reopened and pupils are attending school, there is an increased risk of infection for school staff and pupils. From September 2020, with the return of all pupils to school, this may increase the risk of infection.	There may be an increased risk of infection of COVID-19 for staff and pupils, which could result in the closure of a school, a cluster or full lockdown of the county.	Cllr Phyl Davies Lynette Lovell	12	8	<ul style="list-style-type: none"> Guidance, Planning and Review 	Action In Progress	05/09/2020
						26/08/2020	It was agreed to extend review date to 5/9/2020 after the school summer break	
						<ul style="list-style-type: none"> Guidance 	Control In Place	
COVID0017	Response Supply Chain Risk Shortages of staff to maintain processing of orders and payments	Failure to be able to provide an adequate service for the residents of Powys. esp Social Care Disruption to other services e.g. Software Support	Cllr Aled Davies Jane Thomas	12	6	<ul style="list-style-type: none"> Contact key suppliers and providers & put in place alerts & review the business continuity arrangements for suppliers. 	Action In Progress	31/08/2020
						04/08/2020	No change	
						<ul style="list-style-type: none"> PPN 20 Supplier Relief considered for at risk suppliers 	Action In Progress	31/08/2020
						<ul style="list-style-type: none"> Alternative Supply explored 	Action In Progress	31/08/2020
COVID0026	Response Unable to maintain Creditor Payments	Potential failure of suppliers Supply put on "Stop" Financial Hardship – Foster Carers, Purchase card approval not undertaken	Cllr Aled Davies Anne Phillips	12	6	<ul style="list-style-type: none"> Other Actions 	Action In Progress	31/08/2020
						04/08/2020	No change	
						<ul style="list-style-type: none"> Business Continuity Plan 	Action Completed	
						03/06/2020	no change	
						<ul style="list-style-type: none"> Communications internal and external 	Action Completed	
						03/06/2020	no change	
						<ul style="list-style-type: none"> scanning being maintained and if reduced being input manually 	Control In Place	
						<ul style="list-style-type: none"> invoices now being paid within 10 days 	Control In Place	

COVID-19			Portfolio	Inherent	Residual	Controls and Actions		
Risk Ref	Risk Identified	Potential Consequence	Owner			Control or Action	Status	Review Date
COVID0090	Recovery Workforce health and wellbeing	Staff are demonstrating signs of fatigue from three months in emergency response mode and may not have the capacity / resilience to undertake recovery concurrently with response.	Cllr Graham Breeze Paul Bradshaw	12	6	• Recovery activity to reduce employee fatigue and promote positive return to office working where appropriate	Action In Progress	19/09/2020
COVID0057	Recovery EXTRA CARE - HOUSING - Lack of demand for extra care resulting from COVID-19 (Coronavirus) pandemic Crosslinked with Social Services+ Adult Social Care	If the worst case scenario for the COVID-19 (Coronavirus) pandemic becomes a reality this could impact upon the demand for extra care in Powys. This could lead to an unused development This risk is cross linked to Extra Care Risks (see HO0022)	Cllr Myfanwy Alexander Rosalyn Murphy	12	6	• Planned work - Autumn/Winter 2020 27/07/2020 No change. Works currently expected to start on site in Dec/Jan2021 • Social Services implemented business continuity plan	Action In Progress Action In Progress	27/10/2020 27/10/2020
COVID0047	Response Waste and Recycling not collected from households.	Cause health and environmental hazards and panic	Cllr Heulwen Hulme Brent Campbell	12	6	• Recruitment of additional staff 28/08/2020 Continuing risk, although service has been maintained to date through re-deployment and other safety measures - in line with government & industry guidelines • Redeployment of staff from other areas of service.	Action In Progress Control In Place	28/09/2020
COVID0010	Response Failure to provide accurate and timely information to inform decision making	Lack of corporate oversight Lack of oversight on vulnerable individuals Impact on public health Reactive at a time of crisis	Cllr Graham Breeze Emma Palmer	12	4	• Prioritise resources to support the intelligence of COVID-19 24/08/2020 No change.	Action In Progress	24/09/2020
COVID0019	Response Exemptions to keep exiting contracts	Costs rise due to no re-procurement	Cllr Aled Davies Vincent Hanly	10	10	• Negotiate with suppliers well before contract end dates to negotiate better rates. Contracts reviewed individually for decision 04/08/2020 Some contracts extended due to service capacity to re tender.	Action In Progress	31/08/2020
COVID0031	Response CATERING Significant Loss of Income from school meals.	With school closures, very limited number of pupils attending hubs and having school meals resulting in a significant loss of income. Staff will continue to support and be paid.	Cllr Phyl Davies Jason Rawbone	10	8	• Reduce spend with suppliers.	Control In Place	

COVID-19			Portfolio	Inherent	Residual	Controls and Actions		
Risk Ref	Risk Identified	Potential Consequence	Owner			Control or Action	Status	Review Date
COVID0040	<p>Response</p> <p>General recruitment across council ceasing/reducing</p>	<p>Unable to conduct face to face interviews.</p> <p>Candidates cant access the digital platform of Skype/Teams. Best candidate not appointed</p> <p>Reputational damage if excluding applicants without Skype/Teams access</p>	<p>Cllr Graham Breeze Paul Bradshaw</p>	10	8	<ul style="list-style-type: none"> Where Skype is available to all candidates, continue, where not, process put on hold <p>25/08/2020 <i>The Council continues to be able to recruit staff externally, by for instance using skype and teams for interviews. Additional staff for social care and HTR have been successfully recruited in recent months, along with the recruitment of two Heads of Service and to other senior roles such as a Head Teacher. The Council also successfully recruited a significant number of staff for contact tracing, who will commence as the teams are rolled out in line with demand. The arrangements nationally for undertaking DBS checks were amended to ensure that checks could still be made virtually.</i></p>	Action In Progress	08/09/2020
COVID0043	<p>Response</p> <p>New Starters not having an appropriate induction process.</p>	<p>Staff are not corporately inducted until ICT access is provided.</p>	<p>Cllr Graham Breeze Paul Bradshaw</p>	10	8	<ul style="list-style-type: none"> Service Managers have a responsibility to conduct an appropriate service induction as reasonably practical Corporate Inductions will be undertaken at a later date when practical to do so 	Control In Place	

COVID-19			Portfolio	Inherent	Residual	Controls and Actions		
Risk Ref	Risk Identified	Potential Consequence	Owner			Control or Action	Status	Review Date
COVID0098	<p>Response</p> <p>Risk of legal challenge from providers on interpretation and implementation of eligibility for access to the Welsh Government Hardship Fund for social care providers.</p> <p>The concern is about the guidance provided on the hardship fund and the recent confusion from receiving slightly different guidance via the ADSS Cymru. PCC has worked closely with care providers to support them and to enable them to access the hardship fund.</p> <p>The original WG guidance set out that the fund could be used to pay for: "...loss of income and double running costs that arise because a care home has experienced a death or deaths as a result of Covid-19". The WG clarification shared a few days later advised that the fund could be used to pay: "...where additional costs, including double commissioning costs, arise because a care home has experienced a death or deaths as a result of Covid-19 thereby creating a vacancy or void. Therefore funding could be used in this instance to cover that void, or to commission a placement elsewhere were it was not possible to do so with that home." We have worked accordingly.</p> <p>The new guidance by the ADSS suggests that the hardship fund can pay for voids in care homes that were not created by COVID-19: "Taking account of your local decision making and discretion in relation to claims, we will remove the bullet on p7 of the guidance which details that the Hardship Fund does not cover "lost income other than where it relates directly from a COVID-19 death". Further clarification</p>	<p>This could damage relations with the provider market and could leave the Council, and possibly the Welsh Government, open to challenge and/or legal proceedings, as some providers in Wales will have received preferential options.</p>	<p>Cllr Myfanwy Alexander Dylan Owen</p>	9	9	<p>• Further clarification sought from Welsh Government</p> <p>03/08/2020 Clarification received and utilised. No further communication received. If no further challenge is received by the date of the next review the risk rating may be lowered.</p>	Action In Progress	03/09/2020

COVID-19			Portfolio	Inherent	Residual	Controls and Actions		
Risk Ref	Risk Identified	Potential Consequence	Owner			Control or Action	Status	Review Date
	<p>sought has not helped understanding.</p> <p>We have already received a further claim from a provider who advise that they have seen the further guidance and wish to claim for a significantly more money. To change the interpretation of the guidance in any way at this point would require that we prepare a new pro-forma for providers to complete and submit; make contact with all service providers in order to request their consideration, amended calculation and submission for the past 4 months; to receive, scrutinise and pay them; and to submit our claim in 3 working days.</p> <p>The chosen option (following a discussion with WG Civil Servants) is to refuse the application received and to not offer the opportunity to other providers.</p>							
COVID0070	<p>Response</p> <p>HOUSING _ COMPLIANCE This requires access into our tenant's homes to carry out inspections and servicing. Some tenants are in self isolation and do want engineers and tradesmen coming into their homes.</p>	<p>The risk is not being able to access the properties to carry out the remedial works or servicing as tenants or families are self-isolating. The risk of not being compliant with statutory testing against the risk of the spread of COVID 19 needs to be considered on a case by case basis. The elderly and vulnerable are most at risk as they are isolating for 12 weeks minimum.</p> <p>Dwellings out of statutory compliance due to access being refused and the lack of available contractors/engineers. Potential increased risk from out of date checks.</p>	<p><i>Cllr James Evans</i> Mark Davies</p>	9	9	<ul style="list-style-type: none"> Monitoring Contractors Tenant engagement 	<p>Action In Progress</p> <p>08/04/2020 . as above</p> <p>Action In Progress</p> <p>08/04/2020 .</p>	

COVID-19			Portfolio	Inherent	Residual	Controls and Actions		
Risk Ref	Risk Identified	Potential Consequence	Owner			Control or Action	Status	Review Date
COVID0050	<p>Response</p> <p>HOUSING: Lockdown restrictions (commencing 24.03.20) may lead to an increase in domestic violence and anti-social behaviour with a potential for civil unrest. (This may also increase demand for temporary accommodation)</p> <p>Crosslinked with Social Services+ Adult Social Care (Please refer to COVID0049 for matters relating to homelessness).</p>	<p>Increased demand and need for temporary accommodation and support services (including Adult Social Care and Children's Services).</p> <p>Increased demand and need for social rented homes both immediately and in the future.</p> <p>Potential for civil unrest/community tensions.</p> <p>Increase in mental health issues.</p> <p>Increase in domestic violence.</p> <p>Reduction in safeguarding reporting.</p>	<p>Owner</p> <p><i>Cllr James Evans Andy Thompson</i></p>	9	9	<ul style="list-style-type: none"> Monitor and control situation Work with C-SERT to support the community 	<p>Control In Place</p> <p>Control In Place</p>	
COVID0085	<p>Recovery</p> <p>The continued compliance with social distancing as lockdown is gradually eased or is re-introduced, if necessary</p>	<p>If social distancing measures are not complied with by the population the virus may spread more quickly. The R rate rise will lead to more infections and possibly deaths</p>	<p><i>Cllr James Evans Gwilym Davies</i></p>	9	9	<ul style="list-style-type: none"> Appropriate enforcement of breaches of restrictions. On going monitoring of compliance 	<p>Action In Progress</p> <p>Control In Place</p>	19/09/2020
COVID0059	<p>Response</p> <p>Reduced reputation for the council if communications with stakeholders is poor</p>	<p>Reputation damage</p> <p>Inaccurate information being shared within the community</p> <p>Stakeholders loss of confidence in the council</p>	<p><i>Cllr Graham Breeze Emma Palmer</i></p>	9	6	<ul style="list-style-type: none"> Service areas to work with corporate communications team to ensure clear messages. <p>30/06/2020 Continued</p> <ul style="list-style-type: none"> Communication manager sits on Gold and Silver Command <p>30/06/2020 Confirmed</p> <ul style="list-style-type: none"> Service areas to work with corporate communications team to ensure clear messages. 	<p>Action In Progress</p> <p>Action In Progress</p> <p>Withdrawn</p>	30/09/2020
COVID0027	<p>Response</p> <p>Unable to Maintain Imprest Accounts</p>	<p>Vulnerable priority areas unable to make payments eg Social Care Clients</p>	<p><i>Cllr Aled Davies Anne Phillips</i></p>	9	6	<ul style="list-style-type: none"> not all services will carry on as before post covid Weekly printing and signing of cheques at County Hall Corporate Purchase Cards to make payments scanned and emailed evidence – being explored. <p>31/05/2020 No change</p> <ul style="list-style-type: none"> exploring food vouchers and use of Pay Point 	<p>Action In Progress</p> <p>Action In Progress</p> <p>Action Completed</p> <p>Action Completed</p>	21/10/2020

COVID-19			Portfolio	Inherent	Residual	Controls and Actions		
Risk Ref	Risk Identified	Potential Consequence	Owner			Control or Action	Status	Review Date
COVID0091	Recovery Ability to maintain remote working and social distancing in Council buildings for staff and visitors	If staff return to office based working and social distancing measures are not adhered to then this could lead to an increase in the spread of infection.	<i>Cllr Phyl Davies</i> Gwilym Davies	9	6	• Develop a plan for the safe return staff and visitors to council properties.	Action In Progress	19/09/2020
COVID0074	Response WCCIS availability impacting services areas ability to carry out work during Covid 19 Pandemic	Service Areas unable to carry out essential work during out of hours and weekends. WCCIS availability is required 7 days a week at the moment.	<i>Cllr Graham Breeze</i> Diane Reynolds	9	6	• Performance issues raised to Welsh Government through SBAR <i>27/08/2020 No Change, Issues still being raised with supplier</i> • Response to unplanned outages	Action In Progress Action Completed	27/09/2020
COVID0060	Response Reduced reputation for the council if pandemic is not managed well	Reputation damage Stakeholders loss of confidence in the council	<i>Cllr Graham Breeze</i> Ness Young	9	4	• Business Continuity Plans invoked in response to pandemic <i>21/07/2020 Business continuity plan in place</i> • The Council established strategic Gold and operational Silver coordination groups to manage pandemic response. <i>21/07/2020 Gold and Silver Command groups still operational</i> • Leader is doing weekly letter to Members, and frequent meetings are taking place with MP's / AM's	Action In Progress Action In Progress Action In Progress	21/10/2020 21/10/2020 25/09/2020
COVID0030	Response Closing of Accounts and Statutory publication of Statement of Accounts and Whole of Government Accounts Pension Fund Accounts - Failure to complete	unable to meet deadlines and qualified accounts	<i>Cllr Aled Davies</i> Jane Thomas	9	4	• Provision in place to enable formal notification if unable to meet deadlines <i>04/08/2020 Audit work continuing as planned.</i> • Regular discussions with WAO and WG <i>04/08/2020 Work ongoing as planned</i> • Business Continuity Plan	Action In Progress Action In Progress Control In Place	04/09/2020 31/08/2020 24/06/2020

COVID-19			Portfolio	Inherent	Residual	Controls and Actions		
Risk Ref	Risk Identified	Potential Consequence	Owner			Control or Action	Status	Review Date
COVID0046	Response Public highways become unsafe.	Injury to the public. Need to assess winter gritting requirements	Cllr Heulwen Hulme Brent Campbell	9	4	<ul style="list-style-type: none"> Review resource daily <p>28/08/2020 Introduction of Track & Trace system may give rise to potential further impact on resource availability.</p>	Action In Progress	28/09/2020
COVID0077	Response There is a risk that not all learners are able to access digital devices and have reliable remote connectivity in the event of a school closure or a local lockdown.	This may have a negative effect on learners' education and wellbeing.	Cllr Phyl Davies Lynette Lovell	9	3	<ul style="list-style-type: none"> Digital Learning - Access to Devices <p>05/08/2020 The devices have been collected in and will be redistributed should the need arise in the new academic year</p>	Action In Progress	05/11/2020
COVID0052	Recovery HOUSING Failure to progress major works, including construction of new homes, may lead to financial losses to contractors and to the Council.	Increased repair costs in the future. Dissatisfaction from tenants and people registered with 'Homes in Powys'. Rise in disrepair claims. Damage to fabric of properties. Insufficient provision of new homes to meet rising demand for social and affordable housing, increasing risk of rising levels of homelessness and social consequences arising from people living in poor quality and insecure accommodation.	Cllr James Evans Andy Thompson	8	8	<ul style="list-style-type: none"> Local Authority New Build <p>20/07/2020 20.07.20: Eight new Council homes have been, constructed up to and including roof structure. Contracts have been awarded to build another 68 new homes. Planning approval has been secured for a further 18 homes and contracts are now out to tender.</p> <ul style="list-style-type: none"> Capital and Project Works Materials Finance 	Action In Progress Control In Place Control In Place Control In Place	23/10/2020

COVID-19			Portfolio	Inherent	Residual	Controls and Actions		
Risk Ref	Risk Identified	Potential Consequence	Owner			Control or Action	Status	Review Date
COVID0008	<p>Response</p> <p>LEISURE/SPORT As a result of the COVID-19 outbreak, residents and communities become sedentary, participation and activity levels decrease, people's health & well-being deteriorates (physical, mental, social & emotional). Sport & Active Development Team unable to deliver face to face activities, programmes, events, advice (including funding) and schemes</p>	<p>(i) Individuals, groups, pupils, communities, targeted provision, schools, clubs and athletes will experience a reduction / loss of service, physical activity and learning opportunities. (ii) Unless people are motivated, encouraged and engaged the levels of physical activity throughout the County will reduce. (iii) A reduction in physical activity may have a long-term impact on mental health. (iv) Social isolation will have a profound impact on communication, engagement and social interaction for many people. (v) Funding applications will be delayed causing recipients unable to re-start or initiate schemes, projects and activities when delivery returns to normal (vi) if people are inactive they may become sedentary long-term which would have a negative impact on the health and well-being of individuals, communities and groups.</p>	<p>Owner</p> <p><i>Cllr Rachel Powell</i> Jenny Ashton</p>	8	6	<ul style="list-style-type: none"> Virtual & remote resources to be made available 	Action In Progress	03/09/2020
						<p>03/08/2020 <i>The 'recovery' of the service post-COVID is still in planning stages. Further conversations with schools and Sport Wales will be needed during the next month in order to prioritise engagement and delivery methods. However, if the schools do not return in September due to further COVID restrictions, the delivery programme will be reviewed and amended. Other schemes that can be delivered remotely will then take priority.</i></p> <ul style="list-style-type: none"> Sport Wales funding for 2020-21 can be utilised flexibly during Covid-19 Sport Development Team deployed to support Childcare Hubs 	Control In Place	

COVID-19			Portfolio	Inherent	Residual	Controls and Actions		
Risk Ref	Risk Identified	Potential Consequence	Owner			Control or Action	Status	Review Date
COVID0080	Response/Recovery Inability to provide an effective and efficient Contact Tracing service by PCC / PTHB to prevent the spread of Covid 19	1.Failure of Powys CC and Powys THB in partnership to deliver on the production of a fully functioning and suitable contact tracing system. Unable to fulfil obligations 2.Failure to control the spread of COVID-19 in Powys resulting in need for further lockdown, knock on effect on economy, mental health, council resource etc.. 3.No funding made available from WG to support the delivery. 4. Impact on services if large number of staff have to self isolate due to the spread of Covid 19	Cllr James Evans Nigel Brinn	6	6	<ul style="list-style-type: none"> Need to prioritise the resources to support this project. Rapid recruitment and training required. <i>11/08/2020 As previous review</i> At a strategic level need to ensure adequate systems are in place to agree actions between PTHB and PCC. <i>11/08/2020 This agreement will be reviewed every 3 months by SOG</i> Agree TOR actions and processes with PTHB and monitor and review the service provided. <i>11/08/2020 An agreement is now in place between PTHB and PCC which will be reviewed every 3 months by SOG</i> Lobby Welsh Gov for Funding <i>11/08/2020 WGOV have confirmed in writing that they will meet all costs of TTP in Powys as there may be addition funding required to the £1.4m</i> Implement an effective contact tracing service to assist preventing the spread of Covid 19 <i>11/08/2020 An efficient and effective contact tracing service is in place in Powys with 100% of cases successfully traced</i> 	Action In Progress	11/09/2020
COVID0024	Response Potential Insolvency of participating Pension Fund Employers	Where Powys County Council acts as the "Guarantor" via risk sharing then the liabilities fall back onto the Powys part of the Fund. Where there is no risk sharing, then the liabilities will fall onto the rest of the participating employers within the Fund, but Powys are 91% of the Fund, so it will fall mainly onto Powys, with the remaining 9% spread over the other 22 Employers.	Cllr Aled Davies Chris Hurst	6	6	<ul style="list-style-type: none"> Risk Sharing Agreements and Bonds in place with the majority of Employers. 	Control In Place	
COVID0088	Recovery Protracted civil restrictions (lockdown and social distancing measures)	Protracted restrictions could lead to an increased risk of community tensions and public disorder	Cllr James Evans Gwilym Davies	6	6	<ul style="list-style-type: none"> Regular monitoring of the situation by Community Safety team. 	Action In Progress	19/09/2020

COVID-19			Portfolio	Inherent	Residual	Controls and Actions		
Risk Ref	Risk Identified	Potential Consequence	Owner			Control or Action	Status	Review Date
COVID0042	Response First Aid Training Provision - ability and safety of front line staff to keep themselves and others safe following a first aid incident.	Ability to work safely and treat an incident or accident is diminished.	Cllr Graham Breeze Paul Bradshaw	6	6	• Alternative Renewal pathways in development - Elearning and bespoke in house course	Control In Place	
COVID0087	Recovery Effective communications and messaging, particularly managing any differences and divergence of approach between England and Wales.	Lack of clear communications or failure to reach the population with messages will lead to confusion about the different rules in place in England and Wales, especially on the borders	Cllr Graham Breeze Emma Palmer	6	4	<ul style="list-style-type: none"> Daily Media Briefings <p>25/08/2020 three bulletins issued currently review September</p> <ul style="list-style-type: none"> Dedicated Covid-19 Public and internal Webpages <p>25/08/2020 complete and regularly updated</p>	Action In Progress	25/09/2020
COVID0020	Response Logistics problems	Food deliveries to homes & schools (if still open) Increased expense/security of supply	Cllr Aled Davies Vincent Hanly	6	4	<ul style="list-style-type: none"> Source food locally <p>04/08/2020 no issues currently. With Schools reopening in September, supply chains need to be reviewed in line with service planning</p>	Action In Progress	31/08/2020